

One-Pot Cycloisomerization/ Hetero-Diels–Alder Reaction of 1,6-Enynes with Aldehydes Catalyzed by Rhodium and a Brønsted Acid

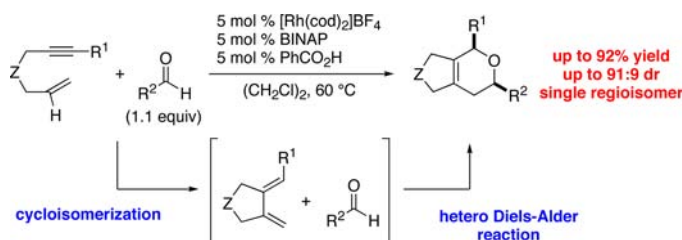
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ABSTRACT



A rhodium and Brønsted acid catalyzed one-pot cycloisomerization/hetero-Diels–Alder reaction of 1,6-enynes with unactivated aldehydes was established under mild conditions. This one-pot catalytic protocol produced a wide variety of annulated dihydropyrans from readily available starting materials in a highly atom economical manner.

The hetero-Diels–Alder reaction has been utilized for the convenient synthesis of six-membered heterocycles. Several examples of [4 + 2] cycloaddition reactions of 1,3-dienes with aldehydes leading to six-membered oxacyclic compounds have been reported to date.¹ However, the successful examples are largely limited to the use of electron-deficient aldehydes and/or electron-rich 1,3-dienes.² Although a few examples using unactivated aldehydes and 1,3-dienes have been reported, these reactions

employed very strong Brønsted³ or Lewis acids⁴ in order to activate poorly reactive heterodienophiles. Furthermore, the product yields are insufficient and the substrate scope is limited. Recently, Matsubara, Kurahashi, and a co-worker achieved the highly efficient hetero-Diels–Alder reaction of unactivated aldehydes and 1,3-dienes under mild reaction conditions (benzene, 80 °C) by using a cationic Fe(III) porphyrin complex as a novel Lewis acid catalyst.⁵ This mild catalyst system significantly broadened the substrate scope. However, a few examples of exocyclic 1,3-dienes, which afford annulated dihydropyrans,⁶ were tested due to the poor availability of exocyclic 1,3-dienes.⁵ Herein, we disclose the one-pot cycloisomerization/hetero-Diels–Alder reaction of 1,6-enynes with unactivated aldehydes catalyzed

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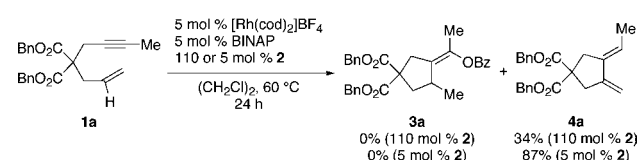
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by rhodium and a Brønsted acid under mild conditions. This unprecedented one-pot catalysis produces a wide variety of annulated dihydropyrans from readily available starting materials.

We previously reported that a cationic Rh(I)/bisphosphine complex catalyzes the cyclization of 1,6-diynes with benzoic acid (**2**) leading to dienyl benzoates under mild conditions.⁷ Thus, we attempted the reaction of 1,6-enyne **1a** in the presence of a stoichiometric amount of **2** and a catalytic amount of a cationic Rh(I)/BINAP [2,2'-bis(diphenylphosphino)-1,1'-binaphthyl] complex. Although the expected carboxylative cyclization product **3a** was not generated, exocyclic 1,3-diene **4a** was generated in low yield (Scheme 1). When using a catalytic amount of **2**, the yield of **4a** significantly improved (Scheme 1).

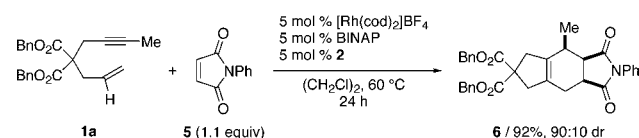
Scheme 1



Chatani, Murai, and co-workers reported the cycloisomerization of 1,6-enynes leading to exocyclic 1,3-dienes⁸ by using a neutral Ir(I) complex and acetic acid.⁹ Subsequently, Yamamoto, Itoh, and co-workers reported the trapping of the thus generated exocyclic 1,3-dienes⁸ from nitrogen-linked 1,6-enynes with *N*-phenylmaleimide (**5**) by the Diels–Alder reaction under toluene reflux conditions.¹⁰ Thus, we attempted the one-pot cycloisomerization/Diels–Alder reaction of **1a** with **5**.¹¹ Pleasingly, the expected product **6** was obtained in high yield under mild reaction conditions (Scheme 2).

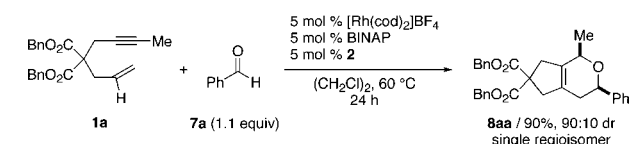
On the other hand, Oi and co-workers reported the hetero-Diels–Alder reaction of unactivated aldehydes and 1,3-dienes under mild reaction conditions (CHCl₃, 50 °C) by using a cationic Pd(II)/bisphosphine catalyst.^{4a} As the one-pot reaction shown in Scheme 2 employs the

Scheme 2



Lewis acidic cationic Rh(I) complex as a catalyst,¹² we anticipated that the same Rh(I) complex would catalyze the hetero-Diels–Alder reaction with an unactivated aldehyde in one pot. As we expected, the unprecedented one-pot cycloisomerization/hetero-Diels–Alder reaction of 1,6-enyne **1a** with benzaldehyde (**7a**) proceeded in the presence of the cationic Rh(I)/BINAP complex (5 mol %) and **2** (5 mol %) to give annulated dihydropyran **8aa** as a single regioisomer in high yield with high diastereoselectivity (Scheme 3).

Scheme 3



The effect of Brønsted acids and counteranions on the reaction of 1,6-enyne **1a** with benzaldehyde (**7a**) was examined (Table 1). With respect to Brønsted acids, use of more acidic sulfonic acid **10** and phosphoric acid **11** increased the yield of the undesired olefin isomerization product **9a** (entries 2 and 3), and use of less acidic phenol (**12**) lowered the yield of **8aa** due to the formation of the corresponding [2 + 2 + 2] cyclization product as a byproduct.¹³ The use of the most acidic sulfonic acid **10** significantly decreased the diastereoselectivity (entry 2). With respect to counteranions, use of the more ionic [SbF₆] anion afforded a complex mixture of byproducts other than the desired product **8aa** (entry 5), and use of less ionic [OTf] anion afforded **9a** as a major product (entry 6). In both cases, lower diastereoselectivities were observed. The neutral Rh(I)/BINAP complex did not catalyze the reaction (entry 7). Thus, use of benzoic acid (**2**) as the Brønsted acid and the [BF₄] anion as the counteranion is optimal (entry 1).

With the optimized reaction conditions in hand, we explored the scope of this one-pot catalysis (Table 2). With respect to unactivated aldehydes, a variety of aromatic and heteroaromatic aldehydes **7a–f** could participate in this reaction to give the corresponding dihydropyrans **8aa–f** in high yields with high diastereoselectivities (entries 1–6). Importantly, both electron-rich and -deficient aromatic aldehydes **7d,e** equally reacted with **1a** (entries 4 and 5). Not only aromatic aldehydes but also aliphatic aldehydes

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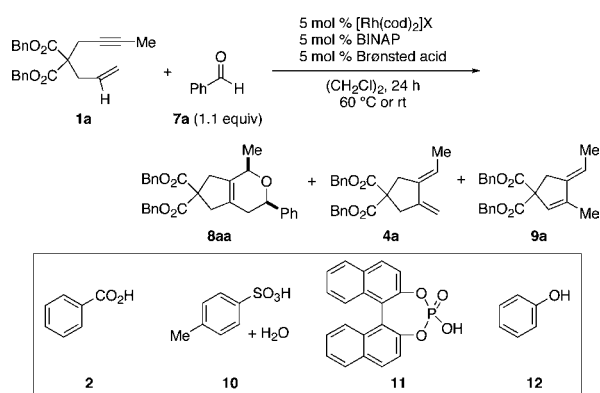
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Table 1. Effect of Brønsted Acids and Counteranions on Reaction of 1,6-Enyne **1a** with Benzaldehyde (**7a**)^a



entry	Brønsted acid	counteranion (X)	temp (°C)	8aa % yield ^b (dr)	4a % yield ^b	9a % yield ^b
1	2	BF ₄	60	90 (90:10)	0	<5
2	10	BF ₄	rt	53 (71:29)	0	39
3	11	BF ₄	rt	60 (91:9)	0	20
4	12	BF ₄	60	66 (92:8)	0	0
5	2	SbF ₆	60	47 (75:25)	0	5
6	2	OTf	60	20 (64:36)	0	61
7 ^c	2	Cl	60	0	0	0

^a[Rh(cod)₂]₂X (0.010 mmol), BINAP (0.010 mmol), Brønsted acid (0.010 mmol), **1a** (0.20 mmol), **7a** (0.22 mmol), and (CH₂Cl)₂ or CH₂Cl₂ (2.0 mL) were used. ^bIsolated yield. ^c[RhCl(cod)]₂ (2.5 mol %) was used.

7g–j could be employed, although diastereoselectivities were low (entries 7–10). Furthermore, acid-sensitive benzyloxy-substituted aldehydes **7k, l** smoothly reacted with **1a** (entries 11 and 12). With respect to 1,6-enynes, not only malonate- (entries 1–13) but also 1,3-diol-derived ones **1c, d** (entries 14 and 15) were suitable substrates for this process. In the reaction of tosylamide-linked 1,6-enyne **1e** with **7a**, the use of *p*-toluenesulfonic acid (**10**) at rt gave the corresponding dihydropyran **8ea** in a higher yield (entry 17) than the use of **2** at 60 °C (entry 16). Not only 1,6-enynes **1a–e** possessing the methyl group at the alkyne terminus but also 1,6-enynes **1f, g** possessing the *n*-butyl or phenyl group at the alkyne terminus, respectively, reacted with **7a** to give dihydropyrans **8fa** and **8ga**¹⁴ in moderate to high yields (entries 18 and 19). Unfortunately, the reaction of terminal 1,6-enyne **1h** and **7a** did not afford dihydropyran **8ha** (entry 20).¹⁵

Very recently, Matsubara, Kurahashi, and a co-worker reported the aza-Diels–Alder reaction of unactivated imines and 1,3-dienes at rt by using a cationic Co(III) porphyrin complex as a Lewis acid catalyst.^{16,17} We also

(14) Oligomerization of **4g** proceeded as a side reaction.

(15) As the corresponding exocyclic 1,3-diene **4h** was generated in ca. 40% yield, the subsequent hetero-Diels–Alder reaction of **4h** with **7a** did not proceed.

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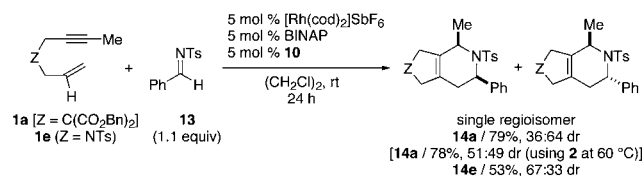
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Table 2. One-Pot Cycloisomerization/Hetero-Diels–Alder Reaction of 1,6-Enynes **1a–h** with Aldehydes **7a–l**^a

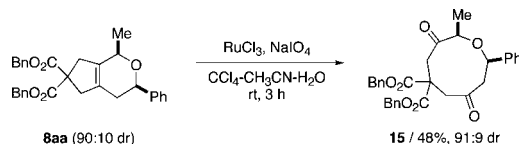
entry	1	7	8 / yield ^b , dr
1	1a	7a	8aa / 90%, 90:10
2	1a	7b	8ab / 87%, 89:11
3	1a	7c	8ac / 85%, 71:29
4	1a	7d (R = OMe)	8ad / 79%, 82:18
5	1a	7e (R = CF ₃)	8ae / 75%, 85:15
6	1a	7f	8af / 70%, 80:20
7	1a	7g (R = Et)	8ag / 80%, 56:44
8	1a	7h (R = <i>n</i> -C ₆ H ₁₃)	8ah / 74%, 54:46
9	1a	7i (R = Bn)	8ai / 75%, 56:44
10	1a	7j	8aj / 72%, 55:45
11	1a	7k (n = 1)	8ak / 60%, 53:47
12	1a	7l (n = 2)	8al / 61%, 52:48
13	1b	7a	8ba / 84%, 91:9
14	1c (R = Me)	7b	8cb / 67%, 82:18
15	1d (R = 4-BrC ₆ H ₄ CH ₂)	7b	8db / 70%, 89:11
16	1e	7a	8ea / 22%, 95:5
17 ^c	1e	7a	8ea / 73%, 90:10
18	1f (R ¹ = <i>n</i> -Bu, R ² = Bn)	7a	8fa / 92%, 89:11
19 ^d	1g (R ¹ = Ph, R ² = Bn)	7a	8ga / 40%, 90:10
20	1h (R ¹ = H, R ² = Et)	7a	8ha / 0%

^aReactions were conducted using [Rh(cod)₂]₂BF₄ (0.010 mmol), BINAP (0.010 mmol), **2** (0.010 mmol), **1a–h** (0.20 mmol), and **7a–l** (0.22 mmol) in (CH₂Cl)₂ (2.0 mL) at 60 °C for 24 h. ^bIsolated yield. ^cA reaction was conducted using **10** in place of **2** at rt. ^dAt 40 °C.

Scheme 4



Scheme 5



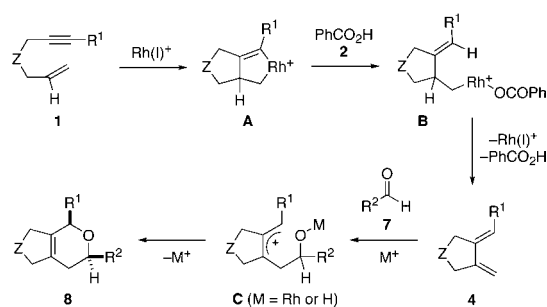
attempted the one-pot cycloisomerization/aza-Diels–Alder reaction by using the cationic $Rh(I)/BINAP$ complex and Brønsted acids. Gratifyingly, 1,6-enynes **1a,e** reacted with unactivated imine **13** at rt in the presence of 5 mol % of the cationic $Rh(I)/BINAP$ complex with the more ionic $[SbF_6]$ anion and **10** to give tetrahydropyridine **14a,e** as a single regioisomer in good yields with moderate diastereoselectivities (Scheme 4). The diastereoselectivity of **14a** was decreased by using **2** in place of **10** at 60 °C.

Importantly, the annulated dihydropyran product can serve as a precursor of a nine-membered oxacyclic compound. Ring expansion of the annulated dihydropyran **8aa** proceeded by the ruthenium-catalyzed C=C bond cleavage¹⁸ to give nine-membered oxacyclic compound **15** in moderate yield (Scheme 5).

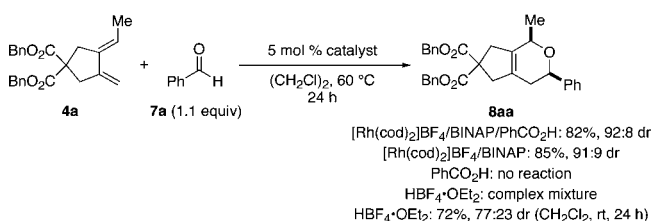
Scheme 6 depicts a plausible mechanism for the present one-pot catalysis. 1,6-Enyne **1** reacts with rhodium affording rhodacyclopentene **A**. The reaction of **A** with benzoic acid (**2**) affords rhodium benzoate **B**. β -Hydride elimination from **B** followed by elimination of benzoic acid affords exocyclic 1,3-diene **4**. Carbonyl activation by the cationic $Rh(I)$ complex promotes the hetero-Diels–Alder reaction through cationic intermediate **C** to afford annulated dihydropyran **8**.¹⁹ Small amounts of HBf_4 , which may be generated in situ by the reaction of $Rh(I)^+ - BF_4^-$ complexes and **2**, may also catalyze the hetero-Diels–Alder reaction.

In order to determine the active catalyst in the hetero-Diels–Alder reaction step, the reactions of isolated exocyclic 1,3-diene **4a** with **7a** were examined in the presence of various catalysts (Scheme 7). The cationic $Rh(I)/BINAP$

Scheme 6



Scheme 7



complex smoothly catalyzed the reaction in the presence or absence of benzoic acid (**2**), while **2** did not catalyze the reaction at all. The use of $HBf_4 \cdot OEt_2$ at 60 °C led to a complex mixture of products, although **8aa** was obtained at rt. However, the yield and diastereoselectivity using $HBf_4 \cdot OEt_2$ were lower than those using the cationic $Rh(I)/BINAP$ complex. Therefore, the hetero-Diels–Alder reaction might be mainly catalyzed by the cationic $Rh(I)/BINAP$ complex and partly catalyzed by small amounts of in situ generated HBf_4 .

In conclusion, a rhodium and Brønsted acid catalyzed one-pot cycloisomerization/hetero Diels–Alder reaction of 1,6-enynes with unactivated aldehydes was established under mild conditions. This one-pot catalytic protocol produced a wide variety of annulated dihydropyrans from readily available starting materials in a highly atom economical manner. Future studies will focus on developing an asymmetric variant of this one-pot catalysis.²⁰

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Supporting Information Available. Experimental procedures and compound characterization data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

The authors declare no competing financial interest.

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(19) Similar cationic intermediate **C** was proposed in the cationic $Pd(II)$ /bisphosphine complex-catalyzed hetero-Diels–Alder reaction; see ref 4a.

(20) Unfortunately, the reaction of **1a** and **7a** by using the cationic $Rh(I)/(R)$ -BINAP catalyst afforded **8aa** with < 5% ee.